### Fibroblast Growth Factor 23 and Cardiovascular Events in the Chronic Renal Insufficiency Cohort (CRIC) Study

### **Adjudication Supplement**

<u>Potential</u> cardiovascular events were identified based on administrative hospital record review. Hospitalizations containing the following ICD-9/CPT codes were adjudicated:

Event type	ICD-9 Codes	CPT Codes
Myocardial Infarction	410, 411, 412, 413, 414,	33510, 33511, 33512, 33513,
	36.01, 36.02, 36.05, 36.06,	33514, 33516, 33517, 33518,
	36.1, 36.10, 36.11, 36.12,	33519, 33521, 33522, 33523,
	36.13, 36.14, 36.15, 36.16,	33533, 33534, 33535, 33536,
	36.17, 36.19, 37, 37.2, 37.21,	35470, 35471, 35472, 35473,
	37.22, 37.23, 38.13, 38.14,	35474, 35475, 92980, 92981,
	38.15, 38.16, 38.18, 39.22,	92982, 92984, 92995, 92996
	39.24, 39.25, 39.26, 39.28	
Cerebrovascular Accident	430, 431, 432, 433, 434, 435, 436, 38.10	33572
Congestive Heart Failure	398.91, 402.01, 402.11, 402.91, 425, 428, 429, 514, 518.4	92986, 92987, 92990

During adjudication, hospital records were reviewed by two independent providers. In the case of cerebrovascular accident, both providers were neurologists. Disagreement between reviewers was resolved by consensus. Reviewers were blind to study data during adjudication of hospital records. The following worksheets served as a guide for the adjudication committee in determining event status. For adjudication of myocardial infarction, electrocardiograms (ECGs) were read by a central ECG Reading Center at Wake Forest University. ECGs during the index hospitalization were compared to the closest ECG prior to the admission date, such as those obtained at a prior CRIC study visit or at a previous hospitalization. Standard scoring criteria, Minnesota Code, were performed and results provided to adjudicators.



**Participant ID: Participant Initials:** 

**Clinical Center:** Site: **Visit Number:** 

**CRF Date:** Reviewer ID:

### **Myocardial Infarction Review Form**

Tracking N	Num	ber:							
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Guidance for defining abnormality threshold for the lab using question #1. Please provide a response for each question:

- 1. Select the Troponin I threshold: (check only one)
- 1 No Troponin I values available (got to Q#3)
- <sup>2</sup> Cannot determine the type of Troponin (go to Q#4)
- <sub>3</sub> 0.14 No ULN available (go to Q#2)
- <sub>4</sub> 0.14 Lab provides only a normal range and no indeterminate range and the ULN is  $\geq$  0.14. (go to Q#2)
- <sub>5</sub> If the lab provides only a normal range and no indeterminate range and the ULN is < 0.14.

**Specify:** 2x ULN = \_\_\_\_ (go to Q#2)

6 If the lab provides an indeterminate category in addition to a

normal range.

**Specify:** 2x ULN = \_\_\_\_ (go to Q#2)

- 2. Select the Troponin I Determination: (check only one)
- 1 Two or more values (~6 hours apart) ≤ ULN and < threshold = normal.
- 2 Any value ≥ threshold = abnormal
- 3 Only one value available < ULN = normal
- 4 One or more value and all > ULN and < threshold = equivocal.
- 3. Select the Troponin T Determination:

(check only one)

- <sub>1</sub> Any Troponin T  $\leq$  0.03 = <u>normal</u>
- <sub>2</sub> Any Troponin T > 0.03 = **abnormal**
- <sub>3</sub> No Troponin T
- 4. Select the Indeterminate Troponin:

(check only one)

- 1 Any Troponin  $\leq$  ULN = **normal**.
- <sub>2</sub> Any Troponin  $\geq 2x$  ULN = **abnormal**.
- 3 Any Troponin >ULN < 2x ULN = equivocal.
- 99 Not Applicable



Participant ID: Participant Initials:

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### **Myocardial Infarction Review Form**

5. Was there evidence of muscle trauma or surgery? 

1 Yes 

0 No

6. Guidance for interpreting CK and LDH results: (check only one and go to Q#7)

	No Muscle Trauma	Muscle Trauma
MB-ULN Available, CK-MB Measured		
CK-MB > 99 <sup>th</sup> percentile of ULN or if not available, > 2x ULN	1 Abnormal	<sub>2</sub> Equivocal
CK-MB < 99 <sup>th</sup> percentile of ULN or if not available, ≤ 2x ULN	<sub>3</sub> Normal	4 Normal
No MB ULN Available, CK-MB Measured		
CK-MB ≥ 10% CKTOT	<sub>5</sub> Abnormal	<sub>6</sub> Equivocal
CK-MB ≥ 5% and <10% CKTOT	<sub>7</sub> Equivocal	<sub>8</sub> Equivocal
CK-MB <5% CKTOT	<sub>9</sub> Normal	10 Normal
No CK-MB measured, CKTOT and LDH measured		
CKTOT ≥ 2x ULN and LDH ≥ 2x ULN	11 Abnormal	<sub>12</sub> Equivocal
[(CKTOT>ULN and < 2x ULN) AND (LDH>ULN and <2x ULN)]	13 Equivocal	<sub>14</sub> Equivocal
[CKTOT>2x ULN <b>AND</b> (LDH<2x ULN <b>and</b> > ULN)]	15 Equivocal	<sub>16</sub> Equivocal
[(CKTOT<2x ULN and > ULN) AND (LDH>2x ULN)]	17 Equivocal	<sub>18</sub> Equivocal
[CKTOT <uln (ldh<2x="" and="" uln="">ULN)]</uln>	<sub>19</sub> Normal	<sub>20</sub> Normal
[(CKTOT<2x ULN and >ULN) AND LDH <uln]< td=""><td><sub>21</sub> Normal</td><td><sub>22</sub> Normal</td></uln]<>	<sub>21</sub> Normal	<sub>22</sub> Normal
CKTOT <uln <b="">AND LDH<uln< td=""><td>23 Normal</td><td><sub>24</sub> Normal</td></uln<></uln>	23 Normal	<sub>24</sub> Normal
No CK-MB measured, No LDH, CKTOT measured		
CKTOT ≥ 2x ULN	<sub>25</sub> Equivocal	<sub>26</sub> Equivocal
CKTOT <2x ULN	<sub>27</sub> Normal	<sub>28</sub> Normal
No CK-MB measured, No CKTOT, LDH measured		
LDH ≥ 2x ULN	29 Equivocal	30 Equivocal
LDH <2x ULN	31 Normal	32 Normal
No CK-MB measured, No CKTOT or LDH measured		
	33 Missing	34 Missing



Participant ID: Participant Initials:

Clinical Center: Site: Visit Number:

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### **Myocardial Infarction Review Form**

7. Was cardiac pain present? 1 Yes (go to Q#8) 0 No (go to Q#9) 88 Uncertain (go to Q#8)

8. Guidance for myocardial infarction determination based on ECG data, cardiac biomarkers and <u>cardiac pain</u> documentation: *(check only one and go to Q#10)* 

		Cardiac Biomarke	ers Classification	
ECG Pattern	Abnormal	Equivocal	Missing	Normal
Evolving Diagnostic ECG (Evolution of major Q-wave)	<sub>1</sub> Definite MI	<sub>2</sub> Definite MI	<sub>3</sub> Definite MI	4 Definite MI
Positive ECG (Evolution of ST <u>Elevation</u> with or without Q-wave <b>OR</b> new LBBB)	<sub>5</sub> Definite MI	<sub>6</sub> Probable MI	7 Probable MI	<sub>8</sub> No MI
Non-Specific ECG (Evolution of ST-T <u>Depression</u> /inversion alone <b>OR</b> evolution of minor Q-waves alone)	<sub>9</sub> Definite MI	<sub>10</sub> Possible MI	11 No MI	<sub>12</sub> No MI
ECG Negative for Ischemia Normal, Absent, Uncodable, or Other	<sub>13</sub> Definite MI	<sub>14</sub> Possible MI	<sub>15</sub> No MI	<sub>16</sub> No MI

9. Guidance for myocardial infarction determination based on ECG data, cardiac biomarkers and <u>absence of cardiac pain</u> documentation: *(check only one and go to Q#10)* 

		Cardiac Biomarke	ers Classification	
ECG Pattern	Abnormal	Equivocal	Missing	Normal
Evolving Diagnostic ECG (Evolution of major Q-wave)	<sub>1</sub> Definite MI	<sub>2</sub> Definite MI	<sub>3</sub> Definite MI	4 Definite MI
Positive ECG* (Evolution of ST <u>Elevation</u> with or without Q-wave <b>OR</b> new LBBB)	<sub>5</sub> Definite MI	<sub>6</sub> Probable MI	7 Possible MI	<sub>8</sub> No MI
Non-Specific ECG (Evolution of ST-T <u>Depression</u> /inversion alone <b>OR</b> evolution of minor Q-waves alone)	<sub>9</sub> Definite MI	<sub>10</sub> Possible MI	11 No MI	<sub>12</sub> No MI
ECG Negative for Ischemia Normal, Absent, Uncodable, or Other	<sub>13</sub> Definite MI	14 No MI	<sub>15</sub> No MI	<sub>16</sub> No MI

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**Participant ID: Participant Initials:** 

**Clinical Center:** Site: **Visit Number:** 

**CRF Date: Reviewer ID:** 

### **Myocardial Infarction Review Form**

\*10. What is your global impression of the final outcome using all available information in this <sub>1</sub> No MI <sub>2</sub> Possible MI

<sub>3</sub> Probable MI 4 Definite MI

88 Can't Determine

medical record?

\*11. What was the participant's vital status at the discharge?

₁ Alive

88 Unknown

<sub>2</sub> Dead

\*These responses must be concordant with the responses of the 2<sup>nd</sup> reviewer.

Note: If the participant's discharge status is dead, please complete a death review form.

12. Did the patient undergo coronary revascularization?

1 Yes

<sub>0</sub> No

a. If "Yes": (Check only one answer)

- 1 Coronary angioplasty (including angioplasty with stenting, antherectomy)
- 2 Coronary artery bypass graft

98 Other (Specify):

### **Comments:**

[add comments here]



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## **EVENT CLASSIFICATION FORM**

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Please base your review on the documentation provided from this investigation. Your determination must be based on the criteria for CRIC outcome events defined in the "Outcomes MOP". (mm/dd/yyyy)

1 Yes	],Intraparenchymal hemorrhage ( <b>IPH</b> )? ] <sub>2</sub> Subarachnoid hemorrhage ( <b>SAH</b> )?	Large-vessel cerebral infarction ( <b>LVCI</b> )?	Small-vessel cerebral infarction (SVCI)?  Cerebral infarction not otherwise specified (CINOS)?	Definite 2 Probable 3 Improbable 28 Can't Determine
3. Was this a cerebrovascular event?	3a. According to the CRIC outcome definitions, was this event [ ]			3a1. Please categorize probability for the cerebrovascular event?

. Was there a second cerebrovascular event during this hospitalization?	□ No ( <b>Go to Q#5</b> )	
. Was there a second cerebrovascular event during this hosp <b>If yes</b> :	<u></u>	
4	. Was there a second cerebrovascular event during this hosp	If yes:

ling to the CRIC outcome definitions, was this event	t only one)	
4a. According to the		

Large-vessel cerebral infarction (LVCI)?  Cardioembolic cerebral infarction (CCI)?  Small-vessel cerebral infarction (SVCI)?	Cerebral infarction not otherwise specified (CINOS)?	1 Definite	
		Please categorize probability for the cerebrovascular	cerebrovascular event?
		4a1.	

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CVA REVIEW

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Site:	Site:	CRF Date: /

Participant Initials:	Number:	Wer ID:
Participa	Visit Number:	Reviewer ID.

# **EVENT CLASSIFICATION FORM**

- 1. Tracking number:
- 2. Date events reviewed:

patient hospitalized?
atient hospital

5a. What was the patient's vital status at discharge?

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 $\square_1$  Yes (go to 5a)  $\square_0$  No (**STOP**)

(mm/dd/yyyy)

Alive (STOP)

Bead (STOP and complete a Death Review form)

Beautiful (STOP)

### Comments:

[Type comments here...]

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Figure 1. Flow Chart for Initial Stroke Evaluation

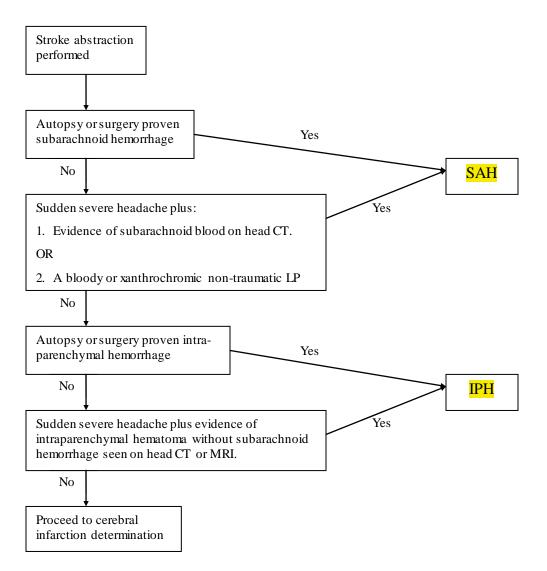


Figure 2. Flow Chart for Cerebral Infarction Determination.

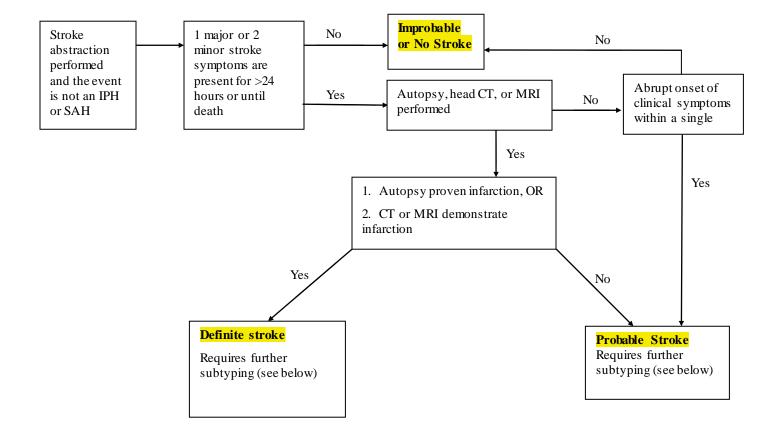
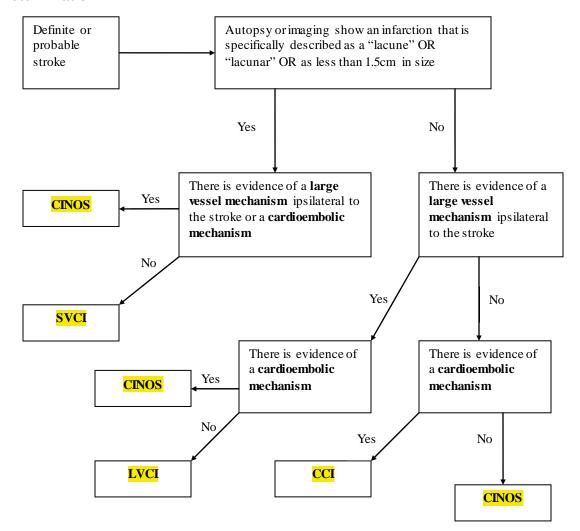


Figure 3. Flow Chart for Cerebral Infarction Subtype Determination



GENAL INST	Participant ID:		Participant Initials:
CRIC	Clinical Center:	Site:	Visit Number:
OHORT STUD	CRF Date:		Reviewer ID:
	IN-PATIENT HEAF	RT FAILURE EVENT REVI	EWER FORM
Instructions to Ro	eviewers: The data collected the first 48 hours	d on this form should focus on a of hospitalization.	dmission and
1. Tracking nur		,	
	umentation of clinical symptor nocturnal dyspnea, and/or ort	ns (dyspnea on exertion or rest, hopnea?	☐ <sub>1</sub> Yes ☐ <sub>0</sub> No ☐ <sub>88</sub> Uncertain
	radiology reports document reports document reports document radiology reports document reports document reports document reports document radiology reports document		$\square_1$ Yes $\square_{87}$ Not Documented $\square_0$ No $\square_{88}$ Uncertain
4. Physical Ex	am findings to include at leas	t two of the following:	
	Provide one response t	o each item	
	ory crackles ("rales") involving 3 of the lower lung fields	at	
4b. S3 gallo	op on auscultation	☐ <sub>1</sub> Yes ☐ <sub>0</sub> No ☐ <sub>87</sub> Not Documented ☐ <sub>88</sub> Uncertain	
4c. Jugular	venous distension > 5cm	$\square_1$ Yes $\square_0$ No $\square_{87}$ Not Documented $\square_{88}$ Uncertain	
4d. Periphe	ral edema	☐ <sub>1</sub> Yes ☐ <sub>0</sub> No ☐ <sub>87</sub> Not Documented ☐ <sub>88</sub> Uncertain	

V6.0.20120927 Page 1 of 3 **HF\_REVIEW** 

GENAL ING	Participant ID:		Participant Initials:
CRICERO	Clinical Center:	Site:	Visit Number:
COHORT STUDY	CRF Date:		Reviewer ID:
	IN-PATIENT HEART	FAILURE EVENT RE	VIEWER FORM
	emodynamic or echocardiogram e following: <b>Check all that apply</b>	vidence including	
5a. Pulmon	ary capillary wedge e >18 mm Hg	$\square_1$ Yes $\square_0$ No $\square_{87}$ Not Documente $\square_{88}$ Uncertain	ed
5b. Cardiad	c index < 2.0 L/min/M <sup>2</sup>	☐ <sub>1</sub> Yes ☐ <sub>0</sub> No ☐ <sub>87</sub> Not Documente ☐ <sub>88</sub> Uncertain	ed
5c. Left ver	ntricular ejection fraction ≤ 35%	$\square_1$ Yes $\square_0$ No $\square_{87}$ Not Documente $\square_{88}$ Uncertain	ed
6. *How would	you characterize this event?	☐ <sub>1</sub> Definite H ☐ <sub>2</sub> Probable ☐ <sub>0</sub> Not Heart	Heart Failure
7. *What was t	he participant's vital status at disc	<u> </u>	mplete a death review form)
*These resp	onses must be concordant with the	ne responses of the 2 <sup>nd</sup> rev	viewer.
·	ent undergo coronary revasculariz es": (Check only one answer)	Coronary stenting,	no No angioplasty (including angioplasty with antherectomy) artery bypass graft pecify):
			N
9. Was an ech	ocardiogram performed during ization?	∐₁ Yes ∐	0 No ☐ ☐ Gan't Determine

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RENAL INST	Participant ID:			Participant Initials:
CRICIENT	Clinical Center:		Site:	Visit Number:
OHORT STUD	CRF Date:			Reviewer ID:
	IN-PATIEN	NT HEART FAIL	URE EVENT RI	EVIEWER FORM
Comments:				
		See Below for	Heart Failure Crit	eria

### **Heart Failure Criteria**

Hospitalization for clinical symptoms (dyspnea on exertion or rest, paroxysmal nocturnal dyspnea, and/or orthopnea) with at least one of the following objective findings:

1. Radiographic evidence of pulmonary edema or pulmonary congestion

OR

- 2. Physical Exam findings consistent with CHF to include at least two of the following:
  - a) Inspiratory crackles ("rales") involving at least 1/3 of the lower lung fields
  - b) S3 gallop on auscultation
  - c) Jugular venous distension > 5cm
  - d) Peripheral edema.

OR

- 3. Invasive hemodynamic or echocardiogram evidence of CHF including any of the following:
  - a) Pulmonary capillary wedge pressure >18 mm Hg
  - b) Cardiac index < 2.0 L/min/M<sup>2</sup>
  - c) Left ventricular ejection fraction ≤ 35%
- 9a. If there is more than one echo during this hospitalization, please list the ejection fraction of the first echo performed.

<u>Peripheral vascular disease</u> was defined by the presence of the following codes and not further adjudicated:

Event type	ICD-9 Codes	CPT Codes
Peripheral Vascular Disease	440, 441, 443, 444	24900, 25900, 25927, 26910,
		27880, 33322, 33335, 33860,
		33870, 35301, 35311, 35321,
		35331, 35341, 35351, 35355,
		35361, 35363, 35371, 35372,
		35381, 35390, 35450, 35452,
		35454, 35456, 35458, 35459,
		35511, 35516, 35518, 35521,
		35531, 35533, 35536, 35541,
		35546, 35548, 35549, 35551,
		35556, 35558, 35560, 35563,
		35565, 35566, 35571, 35582,
		35583, 35585, 35587, 35612,
		35616, 35621, 35623, 35631,
		35636, 35641, 35646, 35650,
		35651, 35654, 35656, 35661,
		35663, 35665, 35666, 35671,
		35700, 35879, 75962, 75964,
		75966, 75968, V49.7