

## APOL1 Variants Increase Risk for FSGS and HIVAN but Not IgA Nephropathy

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### ABSTRACT

A chromosome 22q13 locus strongly associates with increased risk for idiopathic focal segmental glomerulosclerosis (FSGS), HIV-1-associated nephropathy (HIVAN), and hypertensive ESRD among individuals of African descent. Although initial studies implicated *MYH9*, more recent analyses localized the strongest association within the neighboring *APOL1* gene. In this replication study, we examined the six top-most associated variants in *APOL1* and *MYH9* in an independent cohort of African Americans with various nephropathies (44 with FSGS, 21 with HIVAN, 32 with IgA nephropathy, and 74 healthy controls). All six variants associated with FSGS and HIVAN (additive ORs, 1.8 to 3.0; *P* values  $3 \times 10^{-2}$  to  $5 \times 10^{-5}$ ) but not with IgA nephropathy. In conditional and haplotype analyses, two *APOL1* haplotypes accounted for virtually all of the association with FSGS and HIVAN on chromosome 22q13 (haplotype *P* value =  $5.6 \times 10^{-8}$ ). To assess the role of *MYH9* deficiency in nephropathy, we crossbred *Myh9*-haploinsufficient mice (*Myh9*<sup>+/-</sup>) with HIV-1 transgenic mice. *Myh9*<sup>+/-</sup> mice were healthy and did not demonstrate overt proteinuria or nephropathy, irrespective of the presence of the HIV-1 transgene. These data further support the strong association of genetic variants in *APOL1* with susceptibility to FSGS and HIVAN among African Americans.

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African Americans have a three- to four-fold increased risk of focal segmental glomerulosclerosis (FSGS), HIV-associated nephropathy (HIVAN, a secondary form of FSGS associated with HIV infection), and nondiabetic ESRD compared with European-Americans.<sup>1–4</sup> Family members of African Americans with ESRD also have a higher incidence of kidney failure, suggesting that

genetic susceptibility in part accounts for this skewed epidemiology.<sup>5,6</sup> In 2008, two independent studies demonstrated that variants on chromosome 22q13 were highly associated with the risk of FSGS, HIVAN, and nondiabetic ESRD among African Americans and explained much of the increased risk of kidney failure in this population (per alleles odds ratios [ORs] of 3 to 4).<sup>7,8</sup> Initially,

*MYH9* was considered as the likely causal gene in this interval because it encodes a podocyte cytoskeletal protein and because *MYH9* coding mutations cause rare Mendelian disorders with occasional glomerulopathy. Follow-up studies confirmed and refined the signal within the *MYH9* locus,<sup>9–11</sup> but most recently, a comprehensive analysis of the chromosome 22q13 interval indicated that the association signal originated in the neighboring *APOL1* gene, and protein-altering variants (named G1 and G2) on two independent *APOL1* haplotypes explained all of the associations in this region.<sup>12–14</sup> The G1 haplotype encodes two linked missense variants (*P.S342G* and *P.I384M*), and the G2 haplotype encodes a two-amino-acid deletion (*P.N388\_Y389del*) within the *APOL1* carboxy-terminal domain; these variants are protective against *Trypanosoma brucei rhod-*

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*esiense* infection and therefore provide an evolutionary advantage in Africa where this parasite is an endemic cause of sleeping sickness. Accordingly, these nephropathy risk alleles harbor signatures of positive selection and are very common on African American chromosomes but absent from European chromosomes.

To date, a direct comparison of the most significantly associated single-nucleotide polymorphisms (SNPs) in *MYH9* and *APOL1* has not been performed in independent cohorts, and the association of *APOL1* variants in the subgroups of HIVAN and IgA nephropathy (IgAN) has not been examined. Such replication studies are important for validation of genome-wide association studies and determination of the magnitude of risk imparted on different traits. In addition, examination of candidate genes in relevant animal models can provide biologic validation for statistical association in humans. In this study, we examined the most significantly associated SNPs in *MYH9* and *APOL1* in independent African American cohorts with FSGS, HIVAN, and IgAN. Next, we evaluated the effects of *Myh9* haploinsufficiency on the development of nephropathy using a validated mouse model of HIVAN.

In a human association study, we analyzed 32 patients with IgAN, 44 patients with FSGS, and 21 patients with HIVAN against a group of 74 healthy control individuals. All of the participants were of African American ancestry. We genotyped the six most significantly associated SNPs in *APOL1* (rs73885319 and rs60910145 for the G1 risk allele and rs71785313 for the G2 risk allele)<sup>12</sup> and *MYH9* (rs11912763, rs4821481, and rs5750250 tagging the F-1, E-1, and S-1 haplotypes, respectively).<sup>7–11</sup> Power analysis demonstrated that the IgAN cohort and the combined HIVAN and FSGS cohort provided >90% power to detect additive ORs >3.0 at two-sided alpha of 0.05 (Supplemental Table 1). All SNPs were in Hardy-Weinberg equilibrium in controls, and their allele frequencies did not differ from those reported in HapMap or other African American control populations.<sup>12,15,16</sup> After standard genotype quality control (see the Concise Methods section), we conducted association testing using a

simple allelic test for each patient-control cohort (Table 1). None of the SNPs in *APOL1* or *MYH9* were associated with the risk of IgAN under an additive or a recessive model. In contrast, and consistent with previously reported data, five SNPs were significantly associated with the risk of FSGS (allelic ORs, 1.6 to 2.9) and HIVAN (allelic ORs, 2.1 to 3.4). Moreover, when the FSGS and HIVAN groups were combined, all six SNPs were significantly associated with risk of disease (allelic ORs, 1.8 to 3.0; *P* values,  $3 \times 10^{-2}$  to  $5 \times 10^{-5}$ ; Table 1). Interestingly, the two most-significant SNPs (rs60910145 and rs11912763) reside in *APOL1* and *MYH9*, respectively, and thus the single SNP analysis could not clearly resolve the origin of the signal.

We performed a careful examination of the linkage disequilibrium (LD) structure among the six variants (Supplemental Table 2). The two *APOL1* G1-defining SNPs (rs73885319 and rs60910145) were in tight but imperfect LD ( $r^2 = 0.924$ ,  $D' = 0.98$ ) and were also in LD with the G2-defining SNP (rs71785313,  $r^2 = 0.13$ ,  $D' = 1$ ).<sup>12</sup> The complete LD between the G1- and G2-defining SNPs ( $D' = 1$ ) is consistent with the presence of two mutually exclusive haplotypes at this locus. The three *MYH9* variants were in partial LD with *APOL1* variants ( $r^2 = 0.02$  to 0.4,  $D' = 0.725$  to 0.9). These data are consistent with prior reports of the LD structure of this region.

To better resolve the signal at this interval, we performed haplotype analysis with two- and three-SNP moving windows (Figure 1A). This analysis clearly localized the most significant signal within the *APOL1* locus: the association statistics within *APOL1* are within the threshold of genome-wide significance for genome-wide association studies and are nearly 4 orders of magnitude more significant compared with signals within the *MYH9* locus (peak two-SNP haplotype *P* values =  $5.6 \times 10^{-8}$  in *APOL1* versus  $2.7 \times 10^{-4}$  in *MYH9* [Figure 1A and Supplemental Table 3]). Analysis of three-SNP haplotypes within *APOL1* confirmed that the G1 and G2 alleles reside on mutually exclusive haplotypes, and each confers independent risk of dis-

**Table 1.** Results of single SNP association analysis

Chr	SNP	Position (kb)	Minor Allele	IgAN (35 Cases and 74 Controls)			FSGS (44 Cases and 74 Controls)			HIVAN (21 Cases and 74 Controls)			FSGS + HIVAN (65 Cases and 74 Controls)			
				MAF Cases	OR <sup>a</sup>	P <sup>b</sup>	MAF Cases	OR <sup>a</sup>	P <sup>b</sup>	MAF Cases	OR <sup>a</sup>	P <sup>b</sup>	MAF Cases	OR <sup>a</sup>	P <sup>b</sup>	
22	rs73885319	36,661	G	0.264	0.214	0.76	0.43 (NS)	0.500	2.80	$2.3 \times 10^{-4}$	0.455	2.33	$1.6 \times 10^{-2}$	0.492	2.71	$8.1 \times 10^{-5}$
22	rs60910145	36,662	G	0.250	0.214	0.82	0.56 (NS)	0.489	2.87	$1.8 \times 10^{-4}$	0.455	2.50	$9.1 \times 10^{-3}$	0.485	2.82	$4.8 \times 10^{-5}$
22	rs71785313	36,662	Del	0.135	0.200	1.60	0.22 (NS)	0.250	2.13	$2.6 \times 10^{-2}$	0.250	2.13	$6.9 \times 10^{-2}$	0.246	2.09	$1.8 \times 10^{-2}$
22	rs11912763	36,684	A	0.182	0.229	1.33	0.42 (NS)	0.386	2.82	$5.4 \times 10^{-4}$	0.432	3.41	$6.7 \times 10^{-4}$	0.400	2.99	$6.0 \times 10^{-5}$
22	rs4821481	36,696	T	0.324	0.353	1.14	0.68 (NS)	0.227	0.61	$1.1 \times 10^{-1}$	0.159	0.39	$3.3 \times 10^{-2}$	0.208	0.55	$2.9 \times 10^{-2}$
22	rs5750250	36,708	A	0.439	0.441	1.01	0.98 (NS)	0.256	0.44	$5.1 \times 10^{-3}$	0.182	0.28	$2.0 \times 10^{-3}$	0.231	0.38	$2.6 \times 10^{-4}$

IgAN, IgA nephropathy; FSGS, focal segmental glomerulosclerosis; HIVAN, HIV-1-associated nephropathy; Chr, chromosome; SNP, single-nucleotide polymorphism; MAF, minor allele frequency; NS, not significant.

<sup>a</sup>OR corresponds to the odds ratio per one copy of the minor allele. <sup>b</sup>*P* value corresponds to the allelic test of association.

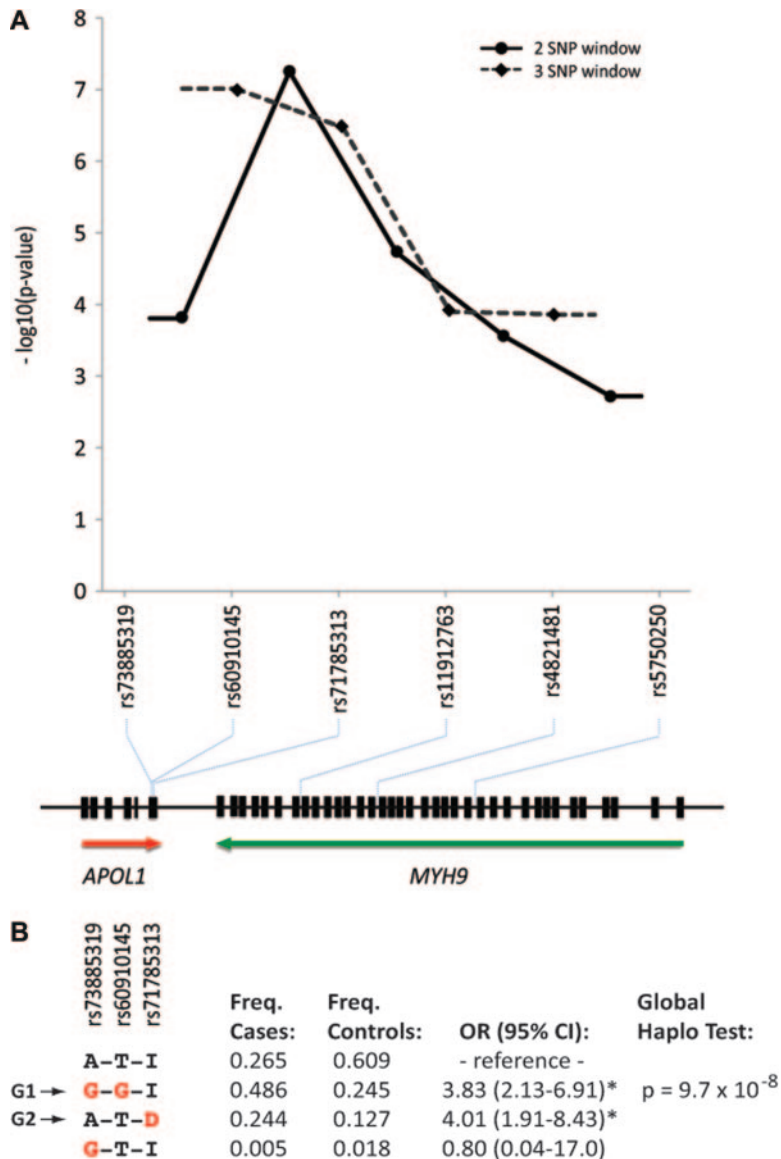
ease (per haplotype ORs = 3.8 to 4.0; Figure 1B). The results of stepwise conditional analysis further support these conclusions (Table 2). After conditioning on the most significant SNP (*APOL1* G1/ rs60910145), one *APOL1* SNP (G2/ rs71785313, OR = 3.56,  $P = 3.9 \times 10^{-4}$ )

and one *MYH9* SNP (rs11912763, OR = 1.9,  $P = 0.048$ ) remained significant. The residual association with rs11912763 is due to its LD with G2-defining SNP (Supplemental Table 2). After accounting for rs71785313, there were no other significant signals (Table 2). These data

clearly indicate that the *APOL1* G1 and G2 variants explain all of the association observed with the *MHY9* SNPs.

Because the *APOL1* G1 and G2 haplotypes confer a comparable risk of disease but reside on distinct haplotypes (Figure 1B), we recoded rs60910145 and rs71785313 into a single locus, where each individual was considered to harbor 0, 1, or 2 *APOL1* risk alleles (i.e. G1/+ and G2/+ genotypes were coded as heterozygotes, and G1/G1, G1/G2, and G2/G2 genotypes were coded as homozygotes). In this analysis, additive and recessive models were similarly supported and reached thresholds for genome-wide significance ( $OR_{ADD} = 3.89$ ,  $P_{ADD} = 5 \times 10^{-8}$  and  $OR_{REC} = 10.9$ ,  $P_{REC} = 1 \times 10^{-9}$ ). Because eight individuals with FSGS or HIVAN did not carry any G1 or G2 alleles, we sequenced all *APOL1* exons in these individuals but did not identify any rare coding mutations that could account for disease. In other secondary analyses, we examined the effect of *APOL1* variants on the risk of renal failure and detected a suggestive association with increased risk of ESRD among the IgAN patients, but not among the FSGS/HIVAN cohorts (OR 3.2,  $P = 0.049$ ; Supplemental Table 4).

In addition to the statistical evidence provided by human association studies, analysis of biologically relevant mouse models can provide independent assessment of candidate genes within association intervals. In this regard, we had previously shown that renal expression of *Myh9* is reduced by approximately 30% in HIV-1 transgenic mice on the susceptible FVB/NJ genetic background,<sup>17</sup> a validated model of HIVAN that exhibits proteinuria and classical features of collapsing glomerulopathy with cystic tubular dilation by 6 to 8 weeks of age.<sup>18,19</sup> However, we could not determine whether this reduced expression played a direct role in the pathogenesis of disease or represented a secondary consequence of glomerulosclerosis. Homozygous inactivation of *Myh9* results in embryonic lethality at embryonic day 6.5, but *Myh9* haploinsufficient mice (*Myh9*<sup>+/-</sup>), despite significantly reduced MYH9 protein level, are born normally and do not develop gross organ dysfunction.<sup>20,21</sup> Therefore, we examined *Myh9* haploinsufficient mice



**Figure 1.** Haplotype analysis localized the most significant signal within the *APOL1* locus. (A) Two-SNP and three-SNP haplotype sliding-window analysis localized the strongest signal within the *APOL1* locus. The y axis shows the  $-\log(P \text{ value})$  for the association statistic. The x axis shows the tested SNP and their location within the *APOL1* and *MYH9* genes. (B) Haplotype analysis of the *APOL1* SNPs demonstrated that G1 and G2 reside on distinct haplotypes and each confers an independent risk of disease compared with the reference haplotype. G1 is defined by the rs73885319-G and rs60910145-G alleles, and G2 is defined by the rs71785313 deletion allele (D). The odds ratios (ORs) were calculated in reference to the most common haplotype, which does not contain any risk variants (ATI). \* $P$  value  $<0.05$  versus the reference haplotype. The  $P$  value for the global haplotype test is also indicated.

**Table 2.** Stepwise conditional association analyses of *APOL1* and *MYH9* variants in the combined cohort of HIVAN and FSGS (65 cases and 74 controls)

Chr	SNP	Tested Allele	Conditioning SNP					
			Not Conditioned		rs60910145		rs60910145 and rs71785313	
			OR <sup>a</sup>	P <sup>b</sup>	OR <sup>a</sup>	P <sup>b</sup>	OR <sup>a</sup>	P <sup>b</sup>
22	rs73885319	G	2.71 (1.64 to 4.48)	8.1 × 10 <sup>-5***</sup>	1.34 (0.21 to 8.52)	0.76 (NS)	1.74 (0.26 to 11.8)	0.57 (NS)
22	rs60910145	G	2.82 (1.70 to 4.68)	4.8 × 10 <sup>-5***</sup>				
22	rs71785313	Del	2.09 (1.13 to 3.88)	0.018*	3.71 (1.80 to 7.66)	3.9 × 10 <sup>-4***</sup>		
22	rs11912763	A	2.99 (1.73 to 5.15)	6.0 × 10 <sup>-5***</sup>	2.03 (1.01 to 4.09)	0.048*	1.95 (0.94 to 4.03)	0.074 (NS)
22	rs4821481	C	1.83 (1.06 to 3.16)	0.029*	0.90 (0.48 to 1.67)	0.73 (NS)	1.57 (0.75 to 3.26)	0.23 (NS)
22	rs5750250	G	2.61 (1.55 to 4.40)	2.6 × 10 <sup>-4***</sup>	0.57 (0.33 to 1.01)	0.055 (NS)	0.98 (0.49 to 1.94)	0.95 (NS)

Chr, chromosome; SNP, single-nucleotide polymorphism; NS, not significant.

<sup>a</sup>OR corresponds to the conditioned odds ratio per one copy of the minor allele.

<sup>b</sup>P value corresponds to the conditional test of association under additive allele coding.

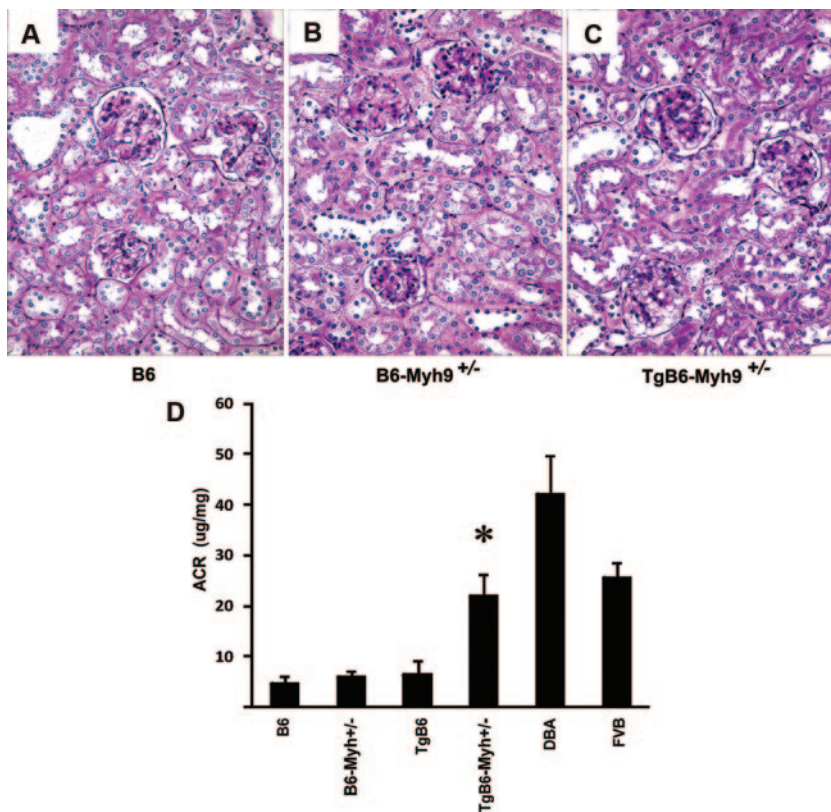
\*P < 0.05; \*\*\*P < 0.001.

(*Myh9*<sup>+/-</sup>) and crossbred them with HIV-1 transgenic mice on the C57BL/6J (B6) genetic background. We chose the B6 background because it is protective against HIV-1 nephropathy in mice<sup>22,23</sup>; consequently, this

breeding scheme could clearly determine whether a primary reduction in *MYH9* expression, in conjunction with a biologically relevant stressor, would overcome genetic resistance and produce kidney disease.

We assessed renal histopathology at 5 to 6 months of age (19 to 29 mice per group; Figure 2) and quantified urine protein levels using an albumin-to-creatinine ratio in a random subset (four to five mice per group). As previously reported, *Myh9*<sup>+/-</sup> mice and HIV-1 transgenic mice on the B6 genetic background were free of kidney disease, and their urinary albumin levels and renal histology scores did not differ from wild-type B6 mice.<sup>20,21,22,23</sup> HIV-1 transgenic *Myh9*<sup>+/-</sup> mice also did not have any detectable histopathologic evidence of nephropathy (Figure 2). These mice had a minor but statistically significant increase in albuminuria compared with parental strains (22.1 ± 3.7 versus 6.4 ± 2.8, P = 0.02; Figure 2), but this level of albuminuria was well within the range of normal variation for inbred strains (reported range 3 to 142 μg albumin/mg creatinine).<sup>19,24,25</sup> We confirmed that *Myh9* expression was significantly decreased (by 30%) in *Myh9*<sup>+/-</sup> mice compared with wild-type littermates (Supplemental Figure 1), which was comparable to the reduction observed in the TgFVB strain.<sup>22</sup> This suggests that reduced *Myh9* expression in the TgFVB strain occurs secondary to glomerulosclerosis and is likely not causal in the development of nephropathy.

In conclusion, analysis of the most significantly associated SNPs in *APOL1* and *MYH9* in independent human cohorts confirmed that *APOL1* haplotypes explain most of the association signal at the Chr. 22q13 locus, suggesting little or



**Figure 2.** *Myh9* haploinsufficiency does not induce nephropathy in HIV-1 transgenic mice. (A-C) Renal histology (Periodic acid-Schiff staining) was normal in B6 (A), B6-*Myh9*<sup>+/-</sup> (B), and TgB6-*Myh9*<sup>+/-</sup> (C) mice. (D) Proteinuria (quantified as μg of albumin/mg of creatinine ratio in urine) was increased in the *Myh9* haploinsufficient, HIV transgenic mice (TgB6-*Myh9*<sup>+/-</sup>) compared with the B6 and B6-*Myh9*<sup>+/-</sup> parental strains but was still within the range of normal for inbred mice (e.g. DBA/2J or FVB/NJ). n = four to five mice per group.

no remaining effect of the *MYH9* locus on the risk of FSGS and HIVAN. These conclusions are further supported by the finding that *Myh9* haploinsufficiency alone does not cause kidney disease, and crossbreeding with a validated mouse model of HIVAN produces only a negligible increase in proteinuria. We also confirm that the *APOL1* G1 and G2 alleles are independent risk factors for FSGS and HIVAN, with a magnitude and direction of effects that was nearly identical to previously reported findings.<sup>12,13</sup> The *APOL1* variants did not impart the same large risk on IgAN, although we found a nominal association with increased risk of ESRD in this group, suggesting that *APOL1* risk alleles may play a role in progression of some glomerulopathies; these findings will require confirmation in larger cohorts. Contrary to prior studies, however, the current analysis did not clearly favor the recessive over the additive model and showed similar odds ratios for the risk of HIVAN and FSGS. This suggests that *APOL1*-associated FSGS and HIVAN do not conform to a simple Mendelian recessive model. Given the significant risk conferred by the G1 and G2 haplotypes, better delineation of the genetic model can facilitate the development and interpretation of *APOL1* genotyping as a predictive tool for nephropathy. In addition, about 12 to 15% of individuals with FSGS or HIVAN carry neither G1 nor G2 *APOL1* risk alleles,<sup>12</sup> suggesting that additional risk factors account for disease in this subgroup. Further investigation of *APOL1* and downstream pathways will likely clarify novel pathogenetic mechanisms common to various forms of nephropathy.

## CONCISE METHODS

### Human Studies

We recruited African American patients with biopsy-documented idiopathic FSGS, HIVAN, or IgAN. FSGS was diagnosed by the presence of focal and segmental glomerular lesions in a proteinuric patient without known secondary causes such as drug toxicity or sickle cell disease; HIVAN was defined by the finding of collapsing FSGS in the setting of HIV infection; IgAN was defined by mesangial proliferation combined with predom-

inant glomerular IgA deposition on immunofluorescence. In total, we studied 32 patients with IgAN, 44 patients with idiopathic FSGS (including eight collapsing cases), and 21 patients with HIVAN, as well as a control group of 74 healthy African American individuals with no proteinuria on urine dipstick. Participants were recruited from three medical centers: Columbia University (New York, NY), Mount Sinai Medical Center (New York, NY), and University of Alabama (Birmingham, AL). The study protocol was approved by the Columbia University, University of Alabama at Birmingham, and Mount Sinai Medical Center Institutional Review Board committees.

Genomic DNA was isolated from blood using DNeasy kit (Qiagen). We selected SNPs with the strongest reported associations in *APOL1*<sup>12,13</sup> and *MYH9*<sup>9,10</sup> and genotyped them by direct Sanger sequencing. The PCR primer sequences used are provided in Supplemental Table 5. The genotypes were called by using the SEQUENCHER software (GeneCodes). Genotyping accuracy was confirmed by bidirectional sequencing in approximately 10% of individuals. All of the genotypes underwent standard quality control procedures before association analysis: all SNPs had >99% genotyping rates, and all passed the Hardy-Weinberg equilibrium test in controls ( $P$  value >0.05).

We performed a standard allelic test of association using PLINK v.1.07.<sup>26</sup> We estimated the per-allele odds ratios and 95% confidence intervals for all tested SNPs. We calculated the pairwise LD statistics ( $r^2$  and  $D'$ ) for all analyzed SNPs after estimating haplotype frequencies using the EM algorithm. In the conditional analyses, we controlled for the genotypes of the conditioning SNPs using logistic regression under additive and recessive models. Because this is a replication study, we report nominal two-sided  $P$  values and considered  $P$  values <0.05 as significant. A Bonferroni adjustment for multiple testing can be obtained by multiplying  $P$  values by 6 (the number of SNPs tested in this study), but this would be an overly stringent correction because these loci are not independent (Supplemental Table 2).

The haplotypes were phased using the EM algorithm as implemented in PLINK v.1.07<sup>26</sup>; the haplotype frequencies were estimated in the patients and controls separately, as well as

jointly in the entire cohort, to detect the likelihood of a true difference in frequency between patients and controls. Only the haplotypes with the overall frequency greater than 1% were included in association analyses. The odds ratios and the corresponding 95% confidence intervals were estimated in reference to the most common haplotype that carried no putative risk alleles.

### Mouse Studies

*Myh9* haploinsufficient mice (*Myh9*<sup>+/-</sup>) have been previously characterized in detail.<sup>21</sup> The mice were maintained by backcrossing to B6 at Columbia University. For the HIV-1 transgenic mouse line, we backcrossed the well-characterized TgN (pNL43d14)26Lom 26 mice<sup>27</sup> to B6 mice for at least seven generations to generate heterozygous HIV-1 transgenic strain on the B6 genetic background (TgB6). We confirmed that both *Myh9*<sup>+/-</sup> and TgB6 mice had a homogeneous B6 background by genotyping 82 informative loci across the genome (Kbioscience, Hoddesdon, UK). *Myh9* haploinsufficient mice (*Myh9*<sup>+/-</sup>,  $n = 29$ ) were compared with wild-type littermates (B6,  $n = 23$ ), TgB6 mice ( $n = 19$ ), and *Myh9*<sup>+/-</sup>-TgB6 ( $n = 19$ ) mice at 5 to 6 months of age. The animal protocol was approved by the institutional animal care and use committee at Columbia University.

Total RNA was extracted from mouse kidneys using TRIzol reagent (Invitrogen) followed by treatment with DNaseI and cleanup using the RNeasy kit (Qiagen) according to the manufacturers' protocols. cDNA was generated with the Omni-Script kit (Qiagen). Gene expression was quantitated by quantitative PCR using SYBR-Green mix and IQ5 thermal cycler (Bio-Rad). *β-actin* was used as a housekeeping control.

We used albumin-to-creatinine ratio (Albuwell M and creatinine ELISA kits; Exocell) for quantification of urine albumin in a random subset (four to five mice in each genotype group). The degree of renal injury was evaluated by examination of kidney histopathology (Periodic acid-Schiff staining). Pathologic evaluation included the severity of tubulointerstitial disease (tubular casts, tubular dilation, and epithelial regeneration/degeneration), as well as the type and extent of glomerular injury (segmental and global glomerulosclerosis and collapse, podocyte hyperplasia, and collapse).

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## DISCLOSURES

None.

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