

**Antibody and T Cell Response to SARS-CoV-2 Messenger
RNA BNT162b2 Vaccine in Kidney Transplant Recipients and
Hemodialysis Patients**

Journal:	<i>Journal of the American Society of Nephrology</i>
Manuscript ID	JASN-2021-04-0480.R1
Manuscript Type:	Original Article - Rapid Communications
Date Submitted by the Author:	03-May-2021
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Keywords:	kidney transplantation, hemodialysis, clinical immunology, COVID-19, SARS-CoV-2

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Title: Antibody and T Cell Response to SARS-CoV-2 Messenger RNA BNT162b2 Vaccine in Kidney Transplant Recipients and Hemodialysis Patients

Running title: SARS-CoV-2 vaccine in ESRD patients

Manuscript Type: Original Article - Rapid Communications

Manuscript Category: CUST_BASIC_COMMUNICATION_CATEGORY :No data available.

Funders: FUNDREF :No data available.

Financial Disclosure: No J. Plantier reports Research Funding from Abbott, Beckman, and Cepheid. O. Boyer reports Consultancy Agreements with BMS, ARGENTX; Research Funding from CSL BEHRING, RA PHARMA, ARGENTX, GRIFOLS; Honoraria from CSL BEHRING, ARGENTX, BMS, ELSEVIER; and Scientific Advisor or Membership via FOCIS board of directors, and the IUIS education committee.

Study Group/Organization Name: CUST_STUDY_GROUP/ORGANIZATION_NAME :No data available.

Study Group Members' Names: CUST_STUDY_GROUP_MEMBERS :No data available.

Total number of words: 1954

Abstract: **Background.** Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is associated with a high rate of mortality in patients with end stage renal disease and vaccination is hoped to prevent infection.

Methods. Between January 18, and February 24, 2021, 225 kidney transplant recipients (KTR) and 45 hemodialysis patients (HDP) received two injections of mRNA BNT162b2 vaccine. The post-vaccinal humoral and cellular response was explored in the first 45 KTR and 10 HDP.

Results. After the second dose, 8 HDP (88.9%) and 8 KTR (17.8%) developed anti-spike SARS-CoV-2 antibodies ($p < 0.0001$). Median titer of antibodies in responders was 1052 AU/mL (IQR: 515-2689) in HDP and 671 AU/mL (IQR: 172-1523) in KTR ($p = 0.4$). Nine HDP (100%) and 26 KTR (57.8%) showed a specific T cell response ($p = 0.06$) after the second injection. In responders, median numbers of spike-reactive T cells were 305 SFC/106 CD3+ T cells (IQR: 95-947) in HDP and 212 SFC/106 CD3+ T cells (IQR: 61-330) in KTR ($p = 0.4$). In KTR, the immune response to BNT162b2 seemed influenced by the immunosuppressive regimen, particularly tacrolimus or belatacept.

Conclusion. Immunization with BNT162b2 seems more efficient in HDP, indicating that vaccination should be highly recommended in these patients awaiting a transplant. However, the current vaccinal strategy for KTR may not provide effective protection against COVID-19 and will likely need to be improved.

Significance Statement:

Antibody and T cell responses to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) mRNA vaccines are poorly reported in kidney transplant recipients and hemodialysis patients. The authors investigated the response to BNT162b2 vaccine in 45 kidney transplants recipients (KTR) and 10 chronic hemodialysis patients (HDP). After the second dose, 88.9% of HDP and only 17.8% of KTR developed anti-SARS-CoV-2 antibodies. A specific T-cell response was induced in 100 % of HDP and 57.8% of KTR. The immune response seemed influenced by the immunosuppressive regimen in KTR, particularly tacrolimus and belatacept. These results could help to better define the strategy of vaccination in this immunocompromised population.

**Antibody and T cell response to SARS-CoV-2 messenger RNA BNT162b2 vaccine
in kidney transplant recipients and hemodialysis patients**

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Running Title: SARS-CoV-2 vaccine in ESRD patients

Word count:

Abstract: 217 words

Text: 1954 words

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Keywords: hemodialysis, kidney transplantation, SARS-CoV-2, SARS-CoV-2 antibody, T cell immunity, COVID-19, tacrolimus, belatacept.

ABSTRACT

Background. Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is associated with a high rate of mortality in patients with end stage renal disease and vaccination is hoped to prevent infection.

Methods. Between January 18, and February 24, 2021, 225 kidney transplant recipients (KTR) and 45 hemodialysis patients (HDP) received two injections of mRNA BNT162b2 vaccine. The post-vaccinal humoral and cellular response was explored in the first 45 KTR and 10 HDP.

Results. After the second dose, 8 HDP (88.9%) and 8 KTR (17.8%) developed anti-spike SARS-CoV-2 antibodies ($p<0.0001$). Median titer of antibodies in responders was 1052 AU/mL (IQR: 515-2689) in HDP and 671 AU/mL (IQR: 172-1523) in KTR ($p=0.4$). Nine HDP (100%) and 26 KTR (57.8%) showed a specific T cell response ($p=0.06$) after the second injection. In responders, median numbers of spike-reactive T cells were 305 SFC/ 10^6 CD3+ T cells (IQR: 95-947) in HDP and 212 SFC/ 10^6 CD3+ T cells (IQR: 61-330) in KTR ($p=0.4$). In KTR, the immune response to BNT162b2 seemed influenced by the immunosuppressive regimen, particularly tacrolimus or belatacept.

Conclusion. Immunization with BNT162b2 seems more efficient in HDP, indicating that vaccination should be highly recommended in these patients awaiting a transplant. However, the current vaccinal strategy for KTR may not provide effective protection against COVID-19 and will likely need to be improved.

INTRODUCTION

Pandemic severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has been particularly deleterious in kidney transplant recipients (1) (2) (3) and in patients with end stage renal disease (ESRD) (4), because of the severity of the disease and the high rate of morbidity and mortality. Moreover, the kidney transplantation activity has been highly impacted by the pandemic (5) (6). In order to protect these populations, SARS-CoV-2 vaccination is recommended through international guidelines (7) (8). However, KTR and dialyzed patients are considered low responders to vaccines (9) and were not included in SARS-CoV-2 pre-authorization vaccine clinical trials.

A low after a first of an mRNA COVID-19 vaccine in solid organ transplant recipients has recently been reported (10). Evaluation of the humoral response to a vaccine readily evaluates its efficacy. However, in a population known to have lower seroconversion rates than the general non immunosuppressed population, the measurement of the cellular immune response could be particularly helpful and relevant.

We thus aimed in this study to explore the immunogenicity of mRNA BNT162b2 vaccine, after the first and second doses, not only by measuring vaccine-induced antibodies but also evaluating anti-SARS-CoV-2 spike-specific T cell response.

METHODS

Between January 18 and February 3, 2021, 225 KTR and 45 hemodialysis patients received a first injection of Pfizer SARS-CoV-2 mRNA BNT162b2 vaccine and three weeks later the second injection. Blood samples were collected from the first 45 KTR (20%) and 10 (22.2%) hemodialysis patients were explored for both humoral and cellular immune response on the day of the second injection and one month later. Data were retrospectively analyzed. This retrospective study was submitted to the approbation of Rouen Centre Institutional Review Board.

The anti-SARS-CoV-2 post-vaccinal antibody response against the spike protein was assessed using the ARCHITECT IgG II Quant test (Abbott, USA) with titers >50 arbitrary units per mL (AU/mL) being considered as positive. (Detection range: 6.8–40000 AU/mL; positive agreement, 99.4%; negative agreement, 99.6%).

Peripheral blood mononuclear cells were isolated by density gradient centrifugation of blood samples and used immediately. PBMCs (in concentrations adjusted to 2×10^5 CD3+ T cells per well) were plated in anti-IFN γ -coated Elispot 96-well plate in presence of overlapping 15-mer peptide pools spanning the sequence of SARS-CoV-2 spike protein S (pool S1 spanning the N-terminal part of the protein including the S1-subunit, and pool S2 spanning the C-terminal part), as well as N, M, ORF3A and ORF7A in order to detect a potential exposition to SARS-CoV-2 (JPT, Strassberg, Germany). Negative and positive control stimulation, respectively medium only and CEFX, a pool of 176 known peptides from various infectious agents (JPT, Strassberg Germany), were included in the assay. After an overnight culture, cells were washed and captured IFN γ was revealed using a colorimetric assay (UCytech, Utrecht, The Netherlands). Spots were counted with an automated ELISPOT reader (AID, Strassberg, Germany). For each stimulation condition, the average spot number observed in wells without antigen was subtracted. Results were expressed as spot forming cells (SFC) per 10^6 CD3+ T cells. For each assay, a specific response was

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considered positive if SFC number was superior to 3 standard deviations of spot numbers observed in wells without antigens (ranging between 9 and 20 SFC/ 10^6 CD3+ T cells) (11).

Quantitative data were presented as mean (SD), or median (IQR: interquartile range). Qualitative data were presented as percentages. The non-parametric Wilcoxon and Mann Withney tests were used to compare characteristics between groups with StatView version 5.0 (SAS Institute, USA).

RESULTS

Baseline characteristics (Table 1)

Baseline characteristics of the 45 KTR are described in Table 1. No patient developed a SARS-CoV-2 infection for up to one month after the second injection.

Regarding HDP, mean age was 71.2 ± 16.4 years. Their median duration of chronic dialysis was 3.14 years (0.6-12.6). One HDP experienced a SARS-CoV-2 infection 3 days after the second injection of vaccine. She was maintained at home until recovery. She was excluded from the analysis after the second dose.

Humoral response after the first and second injection of vaccine in KTR and hemodialysis patients (Figure 1 A).

Three weeks after the first injection, only one HDP (11.1%) and one KTR (2.2%) developed anti-SARS-CoV-2 antibodies ($p=0.19$). Antibody titers in responders were 178.9 AU/mL in HDP and 311 AU/mL in KTR. One month after the second injection, 8 HDP (88.9%) and 8 KTR (17.8%) developed anti-SARS-CoV-2 antibodies ($p<0.0001$). Median antibody titers in responders were 1052 AU/mL (IQR: 515-2689) in HDP and 671 AU/mL (IQR: 172-1523) in KTR ($p=0.4$).

The single patient who tested positive for SARS-CoV-2, developed after the first a significant antibody titer (titer: 161 UA/mL), that dramatically increased after the second injection and COVID-19 (titer: 53737.6 UA/mL).

Cellular response after the first and second injection of vaccine in KTR and hemodialysis patients (Figure 1 B)

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Three weeks after the first injection, 5 HDP (55.6%) and 11 KTR (24.4%) displayed a significant number of spike-reactive T cells ($p=0.06$). In responders, median numbers of specific T cells were 208 SFC/ 10^6 CD3+ T cells (IQR: 65-315) in HDP and 45 SFC/ 10^6 CD3+ T cells (IQR: 35-55) in KTR ($p=0.02$). No response to N, M, ORF3A and ORF7A was evidenced in KTR and HDP, excluding a potential exposition to SARS-CoV-2.

One month after the second injection, a specific T cell response was detected in 9 HDP (100%) and 26 KTR (57.8%) ($p=0.06$). In responders, median numbers of spike-reactive T cells were 305 SFC/ 10^6 CD3+ T cells (IQR: 95-947) in HDP and 212 SFC/ 10^6 CD3+ T cells (IQR: 61-330) in KTR ($p=0.4$).

In the patient who tested positive for SARS-CoV-2, spike-specific T cell numbers were 155 SFC/ 10^6 CD3+ three weeks after the first injection and 3245 SFC/ 10^6 CD3+ after the second injection and SARS-CoV-2 infection. In this case, T-cell responses to N, M, ORF3A and ORF7A were detected.

Humoral and cellular responses to vaccine in KTR, according to the immunosuppressive regimen.

Baseline characteristics of the 45 KTR according to the baseline immunosuppressive (IS) regimen are described in Table 2.

One month after the second injection, 2 KTR (8.3%) developed anti-SARS-CoV-2 antibodies in group 1, 0 in group 2 and 6 (54.5%) in group 3 (group 1 vs group 2, $p=0.34$; group 2 vs group 3, $p=0.005$; group 1 vs group 3, $p=0.002$) (Figure 2A).

One month after the second injection, 12 KTR (50%) in group 1, 4 KTR (40%) in group 2 and 10 KTR (90.9%) in group 3 displayed a specific T cell response (group 1 vs group 2, $p=0.4$; group 2 vs group 3, $p=0.01$; group 1 vs group 3, $p=0.02$). (Figure 2B). Median numbers of SARS-CoV-2-reactive T cells, after the second dose, were 160 SFC/ 10^6 CD3+ T cells (IQR: 46-303) in group 1, 42.5 SFC/ 10^6 CD3+ T cells

(IQR: 35-69) in group 2 and 298 SFC/10⁶ CD3⁺ T cells (IQR: 240-475) in group 3 (group 1 vs group 2, p=0.05; group 2 vs group 3, p=0.005; group 1 vs group 3, p=0.01).

In univariate analysis, predictive factors for a positive antibody response were the duration of kidney transplantation (p=0.003) and a cyclosporine-based immunosuppressive regimen (p=0.0003). No factor predictive of a significant T cell response was identified. T cell counts were not associated with the detection or the magnitude of the antibody but was associated with T cell response to the vaccine in univariate analysis (p=0.01 for CD3, p=0.05 for CD4, p=0.03for CD8).

DISCUSSION

We report here the anti-SARS-CoV-2 antibody and T-cell responses after 2 doses of mRNA vaccine BNT162b2 in a cohort of KTR and hemodialysis patients. We demonstrate that an antibody response is scarcely induced in immunocompromised KTR after a first vaccine dose and in only 17.8% of them after the second dose. Induction of an anti-spike T cell specific response occurs more frequently in 51.1 % of KTR after the second injection. By contrast, in HDP, a specific humoral and cellular response is observed in respectively 88.9% and 100% of patients after the second dose. These results contrast with the robust and early induced immunity observed during mRNA vaccine trials, showing 100% anti-spike seroconversion after vaccination with mRNA-1273 (12) or BNT162b2 (13). Narasimhan et al. observed after the first vaccine dose in a naïve population, using the same serological assay (14), median IgG titers of 2217 AU/mL (95% CI, 0-44182), that, following the booster dose, dramatically increased 8.2 fold to 18 272 AU/mL (at 98% CI, 11724-21750) ($p < 0.001$). Specific IgG titers found in our HDP and KTR were significantly lower. Using a similar IFN γ Elispot assay, Angyal et al (15) recently reported in SARS-CoV-2-naïve healthcare workers, median numbers of spike-specific T cells of 58 SFU/10⁶ PBMCs (IQR 29-146) after a single dose of BNT162b2 and 165 SFU/10⁶ PBMCs (IQR 101-277) after two doses. These values are in the same range than those observed in our study in KTR and HDP, especially after the second dose. Thus, post-vaccinal T cell immunity in KTR and HDP seems comparable to that of healthy naïve subjects.

Data regarding vaccination in dialysis are very scarce. Seroconversion rates after influenza vaccine administration in such patients vary in the literature, ranging from 33% to 80% (16) (17) (18).. Regarding the protection from SARS-CoV-2 in dialysis patients, among 31 waitlisted ESRD patients, 87% of them mounted an antibody response after a first vaccine dose ($p < 0.05$) (19). Grupper et al (20) reported very recently that most of 56 hemodialyzed patients (96%) developed specific antibodies following full BNT162b2 vaccination, but with significantly lower titers than controls. Our data are in line with these

results and extend them by showing effective induction of both humoral and T cell responses after two doses of the BNT162b2 vaccine.

In KTR, vaccinal responses are expected to be impaired, particularly early post-transplantation, after treatment for rejection or rituximab therapy (21) (22) (23). KTR of 65 years and older on ≥ 2 g of daily mycophenolate mofetil generally have reduced humoral responses to influenza vaccines (24). Regarding the efficacy of SARS-CoV-2 mRNA vaccine in KTR, Boyarsky et al (10) reported that 82.6% of transplant recipients (n=436) did not mount significant anti-spike antibody titers after a first dose of mRNA vaccine. In the same vein, Benotmane et al. (25) showed that only 10.8% of KTR had a positive serology 28 days after a first injection of mRNA-1273 vaccine. The median IgG titer was 224 AU/mL (IQR: 76–496 AU/mL). Similarly, Yi et al (19) reported a seroconversion rate of only 6.2% of KTR, after a first mRNA vaccine dose. Our results are thus in line with these studies, showing in addition that after a second vaccine dose, the seroconversion rate is only modestly increased (17.8%). Furthermore, they show that despite a low seroconversion rate, an anti-spike-specific T cell response is triggered in half of the patients after 2 vaccine doses. Presence of anti-spike T cells in absence of specific antibodies could provide some level of protection from SARS-CoV-2 infection, by limiting the extent of viral replication, as reported in the context of CMV infection in KTR (26) (27).

Our results suggest that the immune response to BNT262b2 vaccine is essentially influenced by the intensity of the immunosuppressive regimen. Belatacept-treated patients were indeed the worst responders, developing no antibodies and no or only few specific T cells, Belatacept is in fact suspected to be associated with an increased in the incidence of opportunistic infections (28) (29) and CMV disease (30). Tacrolimus-treated patients also responded weakly to vaccination, although significant T cell numbers were induced in some of them. It should be noted that the majority of our patients were on MMF, which may have contributed to impairing post-vaccine antibody responses (31).

In conclusion, the mRNA BNT162b2 vaccine seems efficient in HDP, indicating that vaccination should be highly recommended in these patients. By contrast, the low seroconversion rate observed in KTR is

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worrying. In seronegative patients displaying significant numbers of anti-spike T cells a third dose of vaccine might trigger a humoral response. However, in patients failing to generate any response, should we prefer standard adjuvanted vaccines or adenovirus-based vaccines? Alternatively, should immunizing household members and close contacts be the priority? Post-vaccination COVID-19 incidence data in KTR should provide answers to these questions.

Authors Contributions:

Sophie Candon, Veronique Lemee and Dominique Bertrand designed the study; Julie Lamulle performed Elispot assays; Sophie Candon, Veronique Lemee and Dominique Bertrand collected data; Mouad Hamzaoui, Sophie Candon and Dominique Bertrand analyzed the data; Sophie Candon and Dominique Bertrand wrote the paper; and all authors provided feedback and critical review.

Acknowledgements:

The authors wish to thank the health-care professionals of the University hospital of Rouen who were involved in the care of the patients, and the nurse from the kidney transplant unit.

Disclosures:

J. Plantier reports Research Funding from Abbott, Beckman, and Cepheid. O. Boyer reports Consultancy Agreements with BMS, ARGEX; Research Funding from CSL BEHRING, RA PHARMA, ARGEX, GRIFOLS; Honoraria from CSL BEHRING, ARGEX, BMS, ELSEVIER; and Scientific Advisor or Membership via FOCIS board of directors, and the IUIS education committee. The remaining authors have no conflict of interest to disclose related to the work reported here.

Funding. The authors declare no funding received for this work

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Table 1 . Baseline characteristics of KTR explored. KTR : kidney transplant recipients, M: male, F: female, BMI : body mass index, eGFR: estimated glomerular filtration rate, ATG: antithymoglobulines, R-IL2: interleukin 2 receptor, MMF: mycophenolate mofetil, AZA: azathioprine

	KTR n=45
Age - years	63.5 ± 16.3
Sex (M/F) - n	23/22
Diabetes mellitus - n (%)	10 (22.2)
Hypertension - n (%)	36 (80)
BMI - kg/m²	26.2 ± 4.7
Time from transplantation – years	
median (range)	6.9 (0.22-30.2)
Immunized KTR – n (%)	12 (26.7)
median PRA class I	6
median PRA class II	14.5
Previous history of rejection - n (%)	1 (2.2)
eGFR - mL/min/1.73 m²	43.3 ± 15.7
P / C ratio - g/g	0.26 ± 0.06
Lymphocytes count - /mm³	
CD3+	892 ± 476
CD4+	447 ± 263
CD8+	397 ± 297
Induction therapy for KT - n (%)	
ATG	18 (40)
Anti R-IL2	27 (60)
IS regimen - n (%)	
Tacrolimus	24 (53.3)
Ciclosporine	8 (17.8)
MMF	37 (82.2)
AZA	4 (8.9)
everolimus	3 (6.7)
Belatacept	10 (22.2)
Steroids	21 (46.7)

Table 2 . Baseline characteristics of KTR explored according to the immunosuppressive regimen

Tac: tacrolimus, bela: belatacept, IS : immunosuppressive, KTR : kidney transplant recipients, M: male, F: female, BMI : body mass index, eGFR: estimated glomerular filtration rate, ATG: antithymoglobulines, R-IL2: interleukin 2 receptor, MMF: mycophenolate mofetil, AZA: azathioprine, C0: through level. p*: comparison between group 1 and 2; p**: comparaison between group 2 and 3; p***: comparison between group 1 and 3.

	G1: Tac based IS regimen (n=24)	p*	G2: Bela based IS regimen (n=10)	p**	G3: Non-tac and non-bela based IS regimen (n=11)	p***
Age - years	60,2 ± 17,4	0,21	68,1 ± 13,7	0,82	66,6 ± 15,5	0,29
Sex (M/F) - n	12/12	0,59	6/4	0,51	5/6	
Time from transplantation – years	6,1 ± 4,9	0,92	6,3 ± 4,7	0,001	17,1 ± 7,9	< 0,0001
eGFR - mL/min/1,73 m ²	45,6 ± 13,7	0,005	30,4 ± 11,6	0,007	50,1 ± 17,4	0,41
Induction therapy for KT - n (%)	11 (45,8)	0,16	2 (20)	0,21	5 (45,5)	
ATG	13 (54,2)		8 (80)		6 (54,5)	
Anti R-IL2						
IS regimen - n (%)						
Tacrolimus	24 (100)	< 0,0001	0	< 0,0001	0	< 0,0001
C0 tac	6,1 ± 1,8	0,007	-	0,54	-	< 0,0001
Ciclosporine	0	0,78	0	0,69	8 (72,7)	0,05
C0 ciclo	-	0,14	-	0,48	67,2 ± 15,3	0,26
MMF	23 (95,8)	0,12	6 (60)	0,60	8 (72,7)	0,56
MMF median of dosage mg	1000	< 0,0001	625	< 0,0001	1000	0,03
AZA	1 (4,2)	0,13	2 (20)	0,12	1 (9,1)	0,77
everolimus	0		1 (10)		2 (18,2)	

Belatacept	0	10 (100)	0
Steroids	10 (41,7)	7 (70)	4 (36,4)

Figure 1

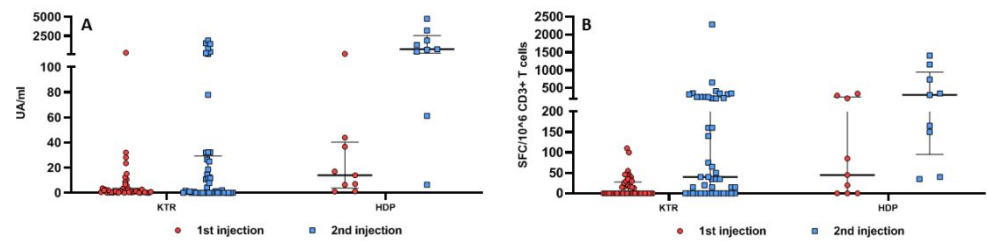


Figure 1. SARS-CoV-2 anti-spike antibody response (A) and SARS-CoV-2-reactive IFN γ -producing T cells (B) in KTR and HDP following first and second injection of SARS-CoV-2 mRNA BNT162b2 vaccine. Titers of S IgG are shown in the samplings of 45 KTR and 9 HDP. Median and IQR are shown. Numbers of T cells (expressed as SFC/10⁶ CD3+ T cells) reactive to overlapping peptide pools spanning SARS-CoV-2 structural protein S (pools S1 and S2) in 45 KTR and 9 HDP. Median and IQR are shown.

Figure 2

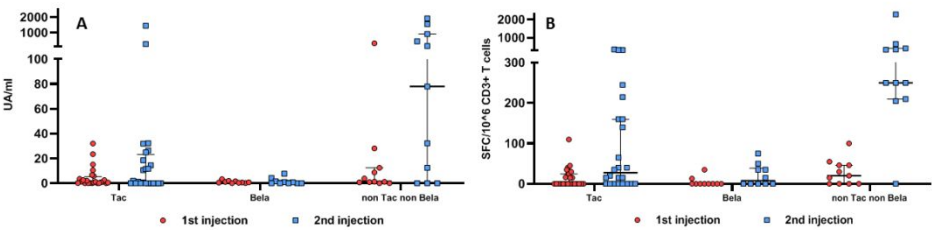


Figure 2. SARS-CoV-2 anti spike antibody response (A) and SARS-CoV-2-reactive IFN γ -producing T cells (B) in KTR following first and second injection of SARS-CoV-2 mRNA BNT162b2 vaccine, according to immunosuppressive regimen. Numbers of T cells (expressed as SFC/10⁶ CD3+ T cells) reactive to overlapping peptide pools spanning SARS-CoV-2 structural protein S (pools S1 and S2) in the samplings of 45 KTR. Median and IQR are shown.

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Conclusion

After mRNA vaccine anti SARS-cov-2 antibody response is scarcely induced in immunocompromised KTR but induction of an anti-spike T cell specific response occurs more frequently. By contrast, in HDP, a specific humoral and cellular response is observed in the large majority of patients.

doi: 10.1681/ASN.2021040480

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